



DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **METHODS FOR TREATING NEURODEGENERATIVE DISORDERS USING ASPARTYL PROTEASE INHIBITORS** the specification of which _____ is attached hereto or X was filed on March 23, 2000 as Application No. 09/534,706 and was amended on _____ (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/125,958	3/24/99
60/036,903	2/4/97

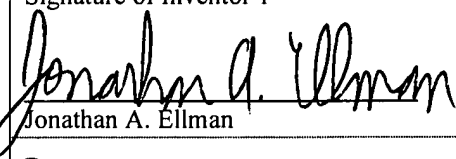
I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status
09/018,226	2/3/98	Pending

Full Name of Inventor 1:	Last Name: ELLMAN	First Name: JONATHAN	Middle Name or Initial: A.	
Residence & Citizenship:	City: Oakland	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 5450 Masonic Avenue	City: Oakland	State/Country: California	Postal Code: 94618
Full Name of Inventor 2:	Last Name: LYNCH	First Name: GARY	Middle Name or Initial:	
Residence & Citizenship:	City: Irvine	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: #4 Gibbs Court	City: Irvine	State/Country: California	Postal Code: 92612-4030

Full Name of Inventor 3:	Last Name: KUNTZ	First Name: IRWIN	Middle Name or Initial: D.	
Residence & Citizenship:	City: Greenbrae	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 55 Almenar Drive	City: Greenbrae	State/Country: California	Postal Code: 94904
Full Name of Inventor 4:	Last Name: BI	First Name: XIAONING	Middle Name or Initial:	
Residence & Citizenship:	City: Irvine	State/Foreign Country: California	Country of Citizenship: China	
Post Office Address:	Post Office Address: 89 Schubert Court	City: Irvine	State/Country: California	Postal Code: 92612
Full Name of Inventor 5:	Last Name: LEE	First Name: CHRISTINA	Middle Name or Initial: E.	
Residence & Citizenship:	City: San Francisco	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 3327 Clay Street	City: San Francisco	State/Country: California	Postal Code: 94118
Full Name of Inventor 6:	Last Name: SKILLMAN	First Name: A. GEOFFREY	Middle Name or Initial:	
Residence & Citizenship:	City: San Francisco	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 149 Ripley Street	City: San Francisco	State/Country: California	Postal Code: 94110-5261
Full Name of Inventor 7:	Last Name: HAQUE	First Name: TASIR	Middle Name or Initial:	
Residence & Citizenship:	City: El Cerrito	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 11780 San Pablo Avenue	City: El Cerrito	State/Country: California	Postal Code: 94530

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1  Jonathan A. Ellman	Signature of Inventor 2 _____ Gary Lynch	Signature of Inventor 3 _____ Irwin Kuntz
Date	Date	Date
Signature of Inventor 4 _____ Xiaoning Bi	Signature of Inventor 5 _____ Christina Lee	Signature of Inventor 6 _____ A. Geoffrey Skillman
Date	Date	Date
Signature of Inventor 7 _____ Tasir Haque		
Date		

SF 1106444 v1



DECLARATION

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Post Office Address:	Post Office Address: 5450 Masonic Avenue	City: Oakland	State/Country: California	Postal Code: 94618
Full Name of Inventor 2:	Last Name: LYNCH	First Name: GARY	Middle Name or Initial:	
Residence & Citizenship:	City: Irvine	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: #4 Gibbs Court	City: Irvine	State/Country: California	Postal Code: 92612-4030

Full Name of Inventor 3:	Last Name: KUNTZ	First Name: IRWIN	Middle Name or Initial: D.	
Residence & Citizenship:	City: Greenbrae	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 55 Almenar Drive	City: Greenbrae	State/Country: California	Postal Code: 94904
Full Name of Inventor 4:	Last Name: BI	First Name: XIAONING	Middle Name or Initial:	
Residence & Citizenship:	City: Irvine	State/Foreign Country: California	Country of Citizenship: China	
Post Office Address:	Post Office Address: 89 Schubert Court	City: Irvine	State/Country: California	Postal Code: 92612
Full Name of Inventor 5:	Last Name: LEE	First Name: CHRISTINA	Middle Name or Initial: E.	
Residence & Citizenship:	City: San Francisco	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 3327 Clay Street	City: San Francisco	State/Country: California	Postal Code: 94118
Full Name of Inventor 6:	Last Name: SKILLMAN	First Name: A. GEOFFREY	Middle Name or Initial:	
Residence & Citizenship:	City: San Francisco	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 149 Ripley Street	City: San Francisco	State/Country: California	Postal Code: 94110-5261
Full Name of Inventor 7:	Last Name: HAQUE	First Name: TASIR	Middle Name or Initial:	
Residence & Citizenship:	City: El Cerrito	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 11780 San Pablo Avenue	City: El Cerrito	State/Country: California	Postal Code: 94530

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Signature of Inventor 1 Jonathan A. Ellman	Signature of Inventor 2 X  Gary Lynch	Signature of Inventor 3 Irwin Kuntz
Date 	X Date <u>July 25, 2000</u>	Date
Signature of Inventor 4 X  Xiaoning Bi	Signature of Inventor 5 Christina Lee	Signature of Inventor 6 A. Geoffrey Skillman
Date <u>July 25, 2000</u>	Date 	Date
Signature of Inventor 7 Tasir Haque		
Date 		

SF 1106444 v1



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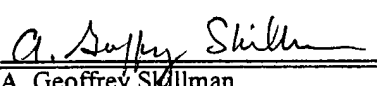
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Residence & Citizenship:	City: Oakland	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 5450 Masonic Avenue	City: Oakland	State/Country: California	Postal Code: 94618
Full Name of Inventor 2:	Last Name: LYNCH	First Name: GARY	Middle Name or Initial:	
Residence & Citizenship:	City: Irvine	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: #4 Gibbs Court	City: Irvine	State/Country: California	Postal Code: 92612-4030

Full Name of Inventor 3:	Last Name: KUNTZ	First Name: IRWIN	Middle Name or Initial: D.	
Residence & Citizenship:	City: Greenbrae	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 55 Almenar Drive	City: Greenbrae	State/Country: California	Postal Code: 94904
Full Name of Inventor 4:	Last Name: BI	First Name: XIAONING	Middle Name or Initial:	
Residence & Citizenship:	City: Irvine	State/Foreign Country: California	Country of Citizenship: China	
Post Office Address:	Post Office Address: 89 Schubert Court	City: Irvine	State/Country: California	Postal Code: 92612
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Full Name of Inventor 6:	Last Name: SKILLMAN	First Name: A. GEOFFREY	Middle Name or Initial:	
Residence & Citizenship:	City: San Francisco	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 149 Ripley Street	City: San Francisco	State/Country: California	Postal Code: 94110-5261
Full Name of Inventor 7:	Last Name: HAQUE	First Name: TASIR	Middle Name or Initial:	
Residence & Citizenship:	City: El Cerrito	State/Foreign Country: California	Country of Citizenship: United States	
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Signature of Inventor 1 Jonathan A. Ellman	Signature of Inventor 2 Gary Lynch	Signature of Inventor 3  Irwin Kuntz
Date	Date	Date 29 June, 2000
Signature of Inventor 4 Xiaoning Bi	Signature of Inventor 5 Christina Lee	Signature of Inventor 6  A. Geoffrey Skillman
Date	Date	Date 28 June, 2000.
Signature of Inventor 7 Tasir Haque		
Date		

SF 1106444 v1



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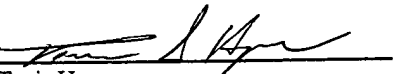
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Residence & Citizenship:	City: Irvine	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: #4 Gibbs Court	City: Irvine	State/Country: California	Postal Code: 92612-4030

Full Name of Inventor 3:	Last Name: KUNTZ	First Name: IRWIN	Middle Name or Initial: D.	
Residence & Citizenship:	City: Greenbrae	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 55 Almenar Drive	City: Greenbrae	State/Country: California	Postal Code: 94904
Full Name of Inventor 4:	Last Name: BI	First Name: XIAONING	Middle Name or Initial:	
Residence & Citizenship:	City: Irvine	State/Foreign Country: California	Country of Citizenship: China	
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Residence & Citizenship:	City: San Francisco	State/Foreign Country: California	Country of Citizenship: United States	
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Full Name of Inventor 6:	Last Name: SKILLMAN	First Name: A. GEOFFREY	Middle Name or Initial:	
Residence & Citizenship:	City: San Francisco	State/Foreign Country: California	Country of Citizenship: United States	
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Full Name of Inventor 7:	Last Name: HAQUE	First Name: TASIR	Middle Name or Initial: S. TSH	
Residence & Citizenship:	City: El Cerrito Wilmington TSH	State/Foreign Country: California Delaware TSH	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 11780 San Pablo Avenue TSH	City: El Cerrito Wilmington TSH	State/Country: California	Postal Code: 94530

701 Foulkstone Rd.

Delaware 19803 TSH

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Signature of Inventor 1 Jonathan A. Ellman	Signature of Inventor 2 Gary Lynch	Signature of Inventor 3 Irwin Kuntz
Date	Date	Date
Signature of Inventor 4 Xiaoning Bi	Signature of Inventor 5 Christina Lee	Signature of Inventor 6 A. Geoffrey Skillman
Date	Date	Date
Signature of Inventor 7  Tasir Haque		
Date 6/28/2000		



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Signature of Inventor 1 Jonathan A. Ellman	Signature of Inventor 2 Gary Lynch	Signature of Inventor 3 Irwin Kuntz
Date	Date	Date
Signature of Inventor 4 Xiaoning Bi	Signature of Inventor 5 <i>Christina Lee</i> Christina Lee	Signature of Inventor 6 A. Geoffrey Skillman
Date	Date <i>June 26, 2000</i>	Date
Signature of Inventor 7 Tasir Haque		
Date		

SF 1106444 v1



Attorney Docket No. 18062G002010
Client Ref. No. B99-070

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Applicant: Jonathan A. Ellman, et al.

Application No.: 09/534,706

Filed: March 23, 2000

For: METHODS FOR TREATING NEURODEGENERATIVE DISORDERS USING ASPARTYL PROTEASE INHIBITORS

The Regents of the University of California, a California corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is assignee of the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame(s) _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: August 11, 2000.

Name: Linda S. Stevenson

Title: Manager, Patent Prosecution

Signature: Linda S. Stevenson

ASSIGNMENT

U.C. Case No. 899-070

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- | | |
|------------------------------|--------------------------------|
| 1. <u>Jonathan A. Ellman</u> | 2. <u>Gary Lynch</u> |
| 3. <u>Irwin D. Kuntz</u> | 4. <u>Xiaoning Bi</u> |
| 5. <u>Christina E. Lee</u> | 6. <u>A. Geoffrey Skillman</u> |
| 7. <u>Tasir Haque</u> | |

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

METHODS FOR TREATING NEURODEGENERATIVE DISORDERS USING ASPARTYL PROTEASE INHIBITORS

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above-named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above-named persons as inventors
- (c) X _____ U.S. application serial no. 09/534,706, filed on March 23, 2000
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

27 day of July, 2000

____ day of _____, 20____

____ day of _____, 20____

____ day of _____, 20____

____ day of _____, 20____

____ day of _____, 20____

____ day of _____, 20____

Signature of Inventor(s)

Jonathan A. Ellman

ASSIGNMENT

U.C. Case No. 899-070

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- | | |
|------------------------------|--------------------------------|
| 1. <u>Jonathan A. Ellman</u> | 2. <u>Garv Lynch</u> |
| 3. <u>Irwin D. Kuntz</u> | 4. <u>Xiaoning Bi</u> |
| 5. <u>Christina E. Lee</u> | 6. <u>A. Geoffrey Skillman</u> |
| 7. <u>Tasir Haque</u> | |

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

METHODS FOR TREATING NEURODEGENERATIVE DISORDERS USING ASPARTYL PROTEASE INHIBITORS

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above-named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above-named persons as inventors
- (c) X _____ U.S. application serial no. 09/534,706, filed on March 23, 2000
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

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executed this

Signature of Inventor(s)

____ day of _____, 20__

25 day of July, 2000

____ day of _____, 20__

25 day of July, 2000

____ day of _____, 20__

____ day of _____, 20__

____ day of _____, 20__

Garv Lynch

Xiaoning Bi

ASSIGNMENT

U.C. Case No. 899-070

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Jonathan A. Ellman2. Gary Lynch3. Irwin D. Kuntz4. Xiaoning Bi5. Christina E. Lee6. A. Geoffrey Skillman7. Tasir Haque

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AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

____ day of _____, 20__

____ day of _____, 20__

29 day of June, 2000

____ day of _____, 20__

____ day of _____, 20__

28 day of June, 2000

____ day of _____, 20__

Irwin D. Kuntz

A. Geoffrey Skillman

ASSIGNMENT

U.C. Case No. 899-070

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- | | |
|------------------------------|--------------------------------|
| 1. <u>Jonathan A. Ellman</u> | 2. <u>Gary Lynch</u> |
| 3. <u>Irwin D. Kuntz</u> | 4. <u>Xiaoning Bi</u> |
| 5. <u>Christina E. Lee</u> | 6. <u>A. Geoffrev Skillman</u> |
| 7. <u>Tasir Haque</u> | |

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- (d) _____ U.S. Patent No.: _____, issued _____

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AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

____ day of _____, 20__

____ day of _____, 20__

____ day of _____, 20__

____ day of _____, 20__

26th day of June, 2000

____ day of _____, 20__

____ day of _____, 20__

Christina E. Lee

08/24/00 14:57 FAX 415 576 0

TTC SF

002



Atty. Docket No. 18062G002010

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERN

Applicant or Patentee: Jonathan A. Ellman, Gary Lynch, Irwin Kuntz, Xiaoning Bi, Christina Lee, A. Geoffrey Skillman, Tasir
Haque
 Application or Patent No.: 09/534,706
 Filed or Issued: March 23, 2000
 Title: METHODS FOR TREATING NEURODEGENERATIVE DISORDERS USING ASPARTYL PROTEASE INHIBITORS

I hereby declare that I am:



the owner of the small business concern identified below;
 an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern: Thuris Corporation
 Address of Small Business Concern: 620 Newport Center Drive, Suite 1100, Newport Beach, California 92660

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled METHODS FOR TREATING NEURODEGENERATIVE DISORDERS USING ASPARTYL PROTEASE INHIBITORS by inventor(s) Jonathan A. Ellman, Gary Lynch, Irwin Kuntz, Xiaoning Bi, Christina Lee, A. Geoffrey Skillman, Tasir described in:



the specification filed herewith.
 Application No. 09/534,706, filed March 23, 2000.
 Patent No. _____, issued _____.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention according to their status as small entities. (37 CFR 1.27)

Name: The Regents of the University of California
 Address: Office of Technology Transfer
111 Franklin Street, 12th Floor, Oakland, California 94607-5200
☐ Individual ☐ Small Business Concern ☒ Nonprofit Organization

Name: _____
 Address: _____
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: KEITH B. HOFFMAN
 Title of Person if Other than Owner: VICE PRESIDENT, BUSINESS DEVELOPMENT
 Address of Person Signing: Thuris Corporation, 620 Newport Center Drive, Suite 1100, Newport Beach, California 92660

Signature

Date

8/23/2000

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION

Applicant or Patentee: Jonathan A. Ellman, Gary Lynch, Irwin Kuntz, Xiaoning Bi, Christina Lee, A. Geoffrey Skillman, Tasir Haque

Application or Patent No.: 09/534,706

Filed or Issued: March 23, 2000

Title: METHODS FOR TREATING NEURODEGENERATIVE DISORDERS USING ASPARTYL PROTEASE INHIBITORS

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Nonprofit Organization: The Regents of the University of California

Address of Nonprofit Organization: Office of Technology Transfer, 111 Franklin Street, 12th Floor, Oakland, California 94607-5200

Type of Nonprofit Organization:

☒ University or other institution of higher education

☐ Tax exempt under Internal Revenue Service Code [26 USC 501(a) and 501(c)(3)]

☐ Nonprofit scientific or educational under statute of state or the United States of America

(Name of state)

(Citation of statute)

☐ Would qualify as tax exempt under Internal Revenue Service Code [26 USC 501(a) and 501(c)(3)] if located in the United States of America

☐ Would qualify as nonprofit scientific or educational under statute of state of the United States of America if located in the United States of America

(Name of state)

(Citation of statute)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention, entitled METHODS FOR TREATING NEURODEGENERATIVE DISORDERS USING ASPARTYL PROTEASE INHIBITORS by inventor(s) Jonathan A. Ellman, Gary Lynch, Irwin Kuntz, Xiaoning Bi, Christina Lee, A. Geoffrey Skillman, Tasir Haque described in:

☐ the specification filed herewith.

☒ Application No. 09/534,706, filed March 23, 2000.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name Thuris Corporation

Address 620 Newport Center Drive, Suite 1100

Newport Beach, CA 92660

☐ Individual

☒ Small Business Concern

☐ Nonprofit Organization

Name _____

Address _____

☐ Individual

☐ Small Business Concern

☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Linda S. Stevenson

Title in Organization of Person Signing: Manager, Patent Prosecution

Address of Person Signing: Office of Technology Transfer

1111 Franklin Street, 12th Floor, Oakland, California 94607-5200

5th Floor, Oakland, California, 94607-5200

Signature Linda S. Stevenson

SF 1106522 v1

Date August 11, 2000